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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application No.	10/044,546
	Filing Date	January 11, 2002
	First Named Inventor	Ramesh Pendakur
	Art Unit	2623
	Examiner Name	Chowdhury, Sumaiya A
Total Number of Pages in This Submission:	Attorney Docket Number:	42390P11551

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">-Return Post Card -Check No. 2185 for \$180.00 -Copies of 33 cited references</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 <b>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</b>
Signature	
Date	October 10, 2006

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Signature		Date	October 10, 2006



# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete if Known

Application Number	10/044,546
Filing Date	January 11, 2002
First Named Inventor	Ramesh Pendakur
Examiner Name	Chowdhury, Sumaiya A
Art Unit	2623
Attorney Docket No.	42390P11551

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below

☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

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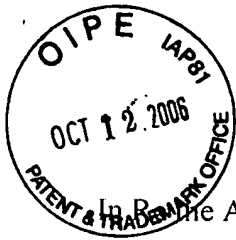
## FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
SUBTOTAL (2)					(\$) 180.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature		Date	10/10/06		



Docket No.: 42390P11551

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RAMESH PENDAKUR

Application No.: 10/044,546

Filed: January 11, 2002

For: USER RATING FEEDBACK LOOP TO MODIFY VIRTUAL  
CHANNEL CONTENT AND / OR SCHEDULES

Art Group: 2623

Examiner: Chowdhury, Sumaiya A

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

10/16/2006 WASFAW1 00000073 10044546

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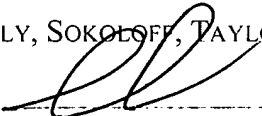
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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

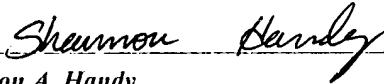
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: October 10, 2006

  
\_\_\_\_\_  
Gregory D. Caldwell, Reg. No. 39,926

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Date



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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 9

Complete if Known

Application Number 10/044,546  
 Filing Date January 11, 2002  
 First Named Inventor Ramesh Pendakur  
 Art Unit 2623  
 Examiner Name Chowdhury, Sumaiya A  
 Attorney Docket Number 42390P11551

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	<u>1</u>	<u>US-6,557,042 B1</u>	<u>04-29-2003</u>	<u>He, et al.</u>	
	<u>2</u>	<u>US-6,570,843 B1</u>	<u>05-27-2003</u>	<u>Wolfgang</u>	
	<u>3</u>	<u>US-6,571,389 B1</u>	<u>05-27-2003</u>	<u>Spyker, et al.</u>	
	<u>4</u>	<u>US-6,574,518 B1</u>	<u>06-03-2003</u>	<u>Lounsberry, et al.</u>	
	<u>5</u>	<u>US-6,578,199 B1</u>	<u>06-10-2003</u>	<u>Tsou, et al.</u>	
	<u>6</u>	<u>US-6,594,682 B2</u>	<u>07-15-2003</u>	<u>Peterson, et al.</u>	
	<u>7</u>	<u>US-6,601,237 B1</u>	<u>07-29-2003</u>	<u>Ten Kate, et al.</u>	
	<u>8</u>	<u>US-6,617,980 B2</u>	<u>09-09-2003</u>	<u>Endo, et al.</u>	
	<u>9</u>	<u>US-6,625,716 B2</u>	<u>09-23-2003</u>	<u>Fackenthal</u>	
	<u>10</u>	<u>US-6,642,862 B2</u>	<u>11-04-2003</u>	<u>Boudry</u>	
	<u>11</u>	<u>US-6,642,939 B1</u>	<u>11-04-2003</u>	<u>Vallone, et al.</u>	
	<u>12</u>	<u>US-6,668,246 B1</u>	<u>12-23-2003</u>	<u>Yeung, et al.</u>	
	<u>13</u>	<u>US-6,678,890 B1</u>	<u>01-13-2004</u>	<u>Cai</u>	
	<u>14</u>	<u>US-6,718,551 B1</u>	<u>04-06-2004</u>	<u>Swix, et al.</u>	
	<u>15</u>	<u>US-6,782,476 B1</u>	<u>08-24-2004</u>	<u>Ishibashi</u>	
	<u>16</u>	<u>US-6,923,911 B1</u>	<u>08-02-2005</u>	<u>Beier, et al.</u>	
	<u>17</u>	<u>US-7,020,983 B2</u>	<u>03-28-2006</u>	<u>Connelly</u>	
	<u>18</u>	<u>US-7,024,681 B1</u>	<u>04-04-2006</u>	<u>Fransman, et al.</u>	
	<u>19</u>	<u>US-7,028,071 B1</u>	<u>04-11-2006</u>	<u>Slik</u>	
	<u>20</u>	<u>US-7,047,456 B2</u>	<u>05-16-2006</u>	<u>Jutzi</u>	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	<u>21</u>	<u>EP 0 064 168 A1</u>	<u>11-10-1982</u>	<u>Zwirn, et al.</u>		
	<u>22</u>	<u>EP 1 902 569 A1</u>	<u>03-17-1999</u>	<u>Shur, et al.</u>		
	<u>23</u>	<u>EP 1 028 551 A2</u>	<u>08-16-2000</u>	<u>Gagnon, et al.</u>		
	<u>24</u>	<u>EP 1 043 892 A1</u>	<u>10-11-2000</u>	<u>Kato</u>		
	<u>25</u>	<u>EP 1 089 201 A1</u>	<u>04-04-2001</u>	<u>Abato, et al.</u>		
	<u>26</u>	<u>EP 1 089 572 A2</u>	<u>04-04-2001</u>	<u>Yamada, et al.</u>		
	<u>27</u>	<u>JP 2003 032710</u>	<u>01-31-2003</u>	<u>Akiho, et al.</u>		
	<u>28</u>	<u>WO 97/16729</u>	<u>07-24-1997</u>	<u>Robinson</u>		
	<u>29</u>	<u>WO 99/44159</u>	<u>09-02-1999</u>	<u>Jaye</u>		
	<u>30</u>	<u>WO 00/59204</u>	<u>10-05-2000</u>	<u>Bertram</u>		

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet

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of

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**Complete if Known**

Application Number	10/044,546
Filing Date	January 11, 2002
First Named Inventor	Ramesh Pendakur
Art Unit	2623
Examiner Name	Chowdhury, Sumaiya A
Attorney Docket Number	42390P11551

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		Number - Kind Code <sup>2</sup> (if known)			
	111	US-7,055,165 B2	05-30-2006	Connelly	
	112	US-2001/0012299 A1	08-09-2001	Dahlen	
	113	US-2001/0013127 A1	08-09-2001	Tomita, et al.	
	114	US-2001/0037507 A1	11-01-2001	Mori	
	115	US-2001/0047516 A1	11-29-2001	Swain, et al.	
	116	US-2002/0073245 A1	05-13-2002	Hallford	
	117	US-2002/0143591 A1	10-03-2002	Connelly	
	118	US-2002/0143607 A1	10-03-2002	Connelly	
	119	US-2002/0144265 A1	10-03-2002	Connelly	
	120	US-2002/0144269 A1	10-03-2002	Connelly	
	121	US-2002/0145052 A1	10-10-2002	Cessac	
	122	US-2002/0152474 A1	10-17-2002	Dudkiewicz	
	123	US-2002/0162120 A1	10-31-2002	Mitchell	
	124	US-2002/0167947 A1	11-14-2002	Hallford, et al.	
	125	US-2002/0193066 A1	12-19-2002	Connelly	
	126	US-2002/0194585 A1	12-19-2002	Connelly	
	127	US-2002/0194603 A1	12-19-2002	Connelly	
	128	US-2003/0005311 A1	01-02-2003	Kajimura, et al.	
	129	US-2003/0005438 A1	01-02-2003	Crinon, et al.	
	130	US-2003/0005451 A1	01-02-2003	Connelly	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>2</sup> - Number <sup>2</sup> - Kind Code <sup>2</sup> (if known)				
	131	WO 00/59204	10-05-2000	Bertram		
	132	WO 00/64165	10-26-2000	Eldering		
	133	WO 01/15449 A1	03-01-2001	Vamparys		
	134	WO 01/17195 A2	03-08-2001	Bowman-Amuah		
	135	WO 01/72042 A1	09-27-2001	Connelly		
	136	WO 01/93524 A2	12-06-2001	Cox, et al.		
	137	WO 02/103940 A2	12-27-2002	Connelly		
	138	WO 02/103941 A2	12-27-2002	Connelly		
	139	WO 02/104030 A1	12-27-2002	Connelly		
	140	WO 02/104031 A1	12-27-2002	Connelly		

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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		Attorney Docket Number	42390P11551		
Sheet	7	of	9		

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	141	US-2003/0005465 A1	01-02-2003	Connelly	
	142	US-2003/0016673 A1	01-23-2003	Pendakur, et al.	
	143	US-2003/0046633 A1	03-06-2003	Jutzi	
	144	US-2003/0046683 A1	03-06-2003	Jutzi	
	145	US-2003/0058933 A1	03-27-2003	Jutzi	
	146	US-2003/0061611 A1	03-27-2003	Pendakur	
	147	US-2003/0065520 A1	04-03-2003	Jutzi, et al.	
	148	US-2003/0066090 A1	04-03-2003	Traw, et al.	
	149	US-2003/0135553 A1	07-17-2003	Pendakur	
	150	US-2005/0273832 A1	12-08-2005	Zigmond, et al.	
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	151	WO 02/48863 A2	06-20-2002	Hallford		
	152	WO 03/028381 A2	04-03-2003	Jutzi		
	153	WO 03/030540 A2	04-10-2003	Jutzi		
	154	WO 03/061245 A1	07-24-2003	Pendakur		

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				Examiner Name	Chowdhury, Sumaiya A
Sheet	8	of	9	Attorney Docket Number	42390P11551

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	155	Business Wire, "MessageMedia Launches SupportView" (1999).	
	156	Gummalla, A.V. and Limb, J.O., IEEE "An Access Protocol for a Wireless Home Network", pp. 1392-1396, (1999).	
	157	Hartwig, S., et al., SMPTE Journal "Broadcasting and Processing of Program Guides for Digital TV", 106(10):727-732, XP 000668926, (1997).	
	158	Haubner, P., and Ottmann, T., "Netzdeinste für multimediale Anwendung CSCW-MBone" Teleseminar: Multimedia Systeme-Technologie und Gestaltung, pp.1-15, XP 002248684, (1996).	
	159	Holfelder, W., University of Mannheim, Germany, "Interactive Remote Recording and Playback of Multicast Videoconferences", pp.450-463, XP002088645, (1997).	
	160	Hu, Q., et al., IEEE "Power Conservative Multi-Attribute Queries on Data Broadcast", pp. 157-177, (2000).	
	161	Hwang, R. and Wu, J., IEEE "Scheduling Policies for an VOD System over CATV Networks", pp. 438-442, (1997).	

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Sheet

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## **NON PATENT LITERATURE DOCUMENTS**

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	162	"Information Technology-Generic Coding of Moving Pictures and Associated Audio: Systems", ISO/IEC 13818(1), XP002033056, (1994).	
	163	Wittig, H. and Griwodz, C., IEEE "Intelligent Media Agents in Interactive Television Systems", pp. 182-189, XP 000603484, (1995).	

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